

RANK FUNCTIONS ON SEMIGROUPS

BY

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In this paper we will consider an abstractification of the concept of rank, as it is known for matrices in a full matrix ring over a commutative field K , for elements of some special semigroups.

S be a semigroup, that is to say a set of elements a, b, c, \dots , for which an associative, not necessarily commutative multiplication is defined.

The set of all infinite sequences $\{\alpha_j\} = (\alpha_1, \alpha_2, \alpha_3, \dots)$ of integers $\alpha_j \geq 0$ be denoted by V . V is partially ordered if we define $\{\alpha_j\} \leq \{\beta_j\}$ if $\alpha_j \leq \beta_j$ ($j = 1, 2, 3, \dots$). $\{\alpha_j\} < \{\beta_j\}$ means $\{\alpha_j\} \leq \{\beta_j\}$, but $\alpha_j < \beta_j$ for at least one j .

Now we define a mapping $\varrho(a)$ of S into V to be a rank function, if the following conditions are satisfied:

- i. $\varrho(ab) \leq \varrho(a), \varrho(ab) \leq \varrho(b)$
- ii. $\varrho(a) < \varrho(b)$ implies $a = xby$ for some $x, y \in S$.

For example $\varrho(a) = (0, 0, 0, \dots)$ for each element a of any semigroup S is a rank function.

Now we have the following lemmas:

Lemma 1. ϱ_1 and ϱ_2 being two rank functions, $\varrho_1(a) < \varrho_1(b)$ implies $\varrho_2(a) \leq \varrho_2(b)$.

Proof. $\varrho_1(a) < \varrho_1(b)$ implies $a = xby$ from which it follows that $\varrho_2(a) \leq \varrho_2(by) \leq \varrho_2(b)$.

An equivalence relation $\varrho_1 \sim \varrho_2$ can be defined according to the implications $\varrho_1(a) \leq \varrho_1(b) \leftrightarrow \varrho_2(a) \leq \varrho_2(b)$. Reflexivity, symmetry and transitivity of this relation are easily verified. The corresponding set of equivalence classes $\bar{\varrho}$ be denoted by \mathfrak{A} .

Lemma 2. \mathfrak{A} is partially ordered if we define $\bar{\varrho}_1 \leq \bar{\varrho}_2$ if $\varrho_2(a) < \varrho_2(b)$ implies $\varrho_1(a) < \varrho_1(b)$ for some $\varrho_i \in \bar{\varrho}_i$ ($i = 1, 2$).

Proof. The definition of ordering is independent of the choice of representatives in our class $\bar{\varrho}_i$; the properties of partial ordering in \mathfrak{A} are obvious.

Lemma 3. Given a countable set $\bar{\varrho}_1, \bar{\varrho}_2, \bar{\varrho}_3, \dots$ of elements of \mathfrak{A} there exists a (not uniquely determined) element $\bar{\varrho} \in \mathfrak{A}$ with the property $\bar{\varrho}_i \leq \bar{\varrho}$ ($i=1, 2, 3, \dots$).

Proof. We use a diagonal principle

Let $\varrho_1 \in \bar{\varrho}_1$; $\varrho_1(a) = (\alpha_{11}, \alpha_{12}, \alpha_{13}, \dots)$
 $\varrho_2 \in \bar{\varrho}_2$; $\varrho_2(a) = (\alpha_{21}, \alpha_{22}, \alpha_{23}, \dots)$
 $\varrho_3 \in \bar{\varrho}_3$; $\varrho_3(a) = (\alpha_{31}, \alpha_{32}, \alpha_{33}, \dots)$
 $\dots \dots \dots$

Then $\varrho'_1 \sim \varrho_1$ if $\varrho'_1(a) = (\alpha_{11}, 0, \alpha_{12}, 0, 0, \alpha_{13}, \dots)$
 $\varrho'_2 \sim \varrho_2$ if $\varrho'_2(a) = (0, \alpha_{21}, 0, 0, \alpha_{22}, 0, \dots)$
 $\varrho'_3 \sim \varrho_3$ if $\varrho'_3(a) = (0, 0, 0, \alpha_{31}, 0, 0, \dots)$
 $\dots \dots \dots$

Now define $\bar{\varrho}$ to be the class of ϱ where

$$\varrho(a) = (\alpha_{11}, \alpha_{21}, \alpha_{12}, \alpha_{31}, \alpha_{22}, \alpha_{13}, \dots)$$

and the implication $\varrho(a) \leq \varrho(b) \rightarrow \varrho_i(a) \leq \varrho_i(b)$ is trivially true. Hence $\bar{\varrho}_i \leq \bar{\varrho}$ ($i=1, 2, 3, \dots$).

Theorem 1. If \mathfrak{A} itself is countable then it contains a uniquely determined element $\bar{\sigma}$ with the property $\bar{\varrho} \leq \bar{\sigma}$ for each $\bar{\varrho} \in \mathfrak{A}$.

Proof. The existence of at least one $\bar{\sigma}$ follows at once from lemma 3. The uniqueness can be seen as follows: Suppose $\bar{\sigma}_1$ and $\bar{\sigma}_2$ are two elements of \mathfrak{A} with the mentioned property. Then $\bar{\sigma}_2 \leq \bar{\sigma}_1$ and $\bar{\sigma}_1 \leq \bar{\sigma}_2$ so that $\bar{\sigma}_1 = \bar{\sigma}_2$.

This class $\bar{\sigma}$ will be called rank. When S is a full matrix ring over a commutative field K the condition ii. for the rank ϱ_0 in the usual sense can be sharpened to: $\varrho_0(a) \leq \varrho_0(b)$ implies $a = xby$ for some elements $x, y \in S$. Hence $\varrho_0 \in \bar{\sigma}$ in this case.

From now on we shall assume that S contains an identity element e . Then $ae = ea = a$ for all $a \in S$. Now we can define an equivalence relation $a \equiv b$ ($a, b \in S$) if both $a = xby$ and $b = taz$ hold for some $x, y, t, z \in S$. The reflexivity, symmetry and transitivity of this relation are evident, and we have as a consequence of i. $a \equiv b$ implies $\varrho(a) = \varrho(b)$ for any rank-function ϱ . Now suppose $\varrho(a) = \varrho(b)$, where $a \not\equiv b$. Then we can prove

Lemma 4. If $\varrho(a) = \varrho(b)$ and $a \not\equiv b$, then there exists a class $\bar{\varrho}'$ such that $\bar{\varrho} \leq \bar{\varrho}'$, $\bar{\varrho} \neq \bar{\varrho}'$.

Proof. We have two cases

- None of the equations $a = xby$ and $b = taz$ admits a solution.
- One of the mentioned equations, say $a = xby$ holds for some $x, y \in S$.

Suppose $\varrho(c) = (\gamma_1, \gamma_2, \gamma_3, \dots)$ for $c \in S$
 $\varrho(a) = \varrho(b) = (\alpha_1, \alpha_2, \alpha_3, \dots)$.

In the successive cases we define ϱ'

- a. $\varrho'(c) = (0, 0, \gamma_1, \gamma_2, \gamma_3, \dots)$ for $a|c$ or $b|c$, $c \not\equiv a, b$
 $\varrho'(c) = (1, 0, \alpha_1, \alpha_2, \alpha_3, \dots)$ for $c \equiv a$
 $\varrho'(c) = (0, 1, \alpha_1, \alpha_2, \alpha_3, \dots)$ for $c \equiv b$
 $\varrho'(c) = (1, 1, \gamma_1, \gamma_2, \gamma_3, \dots)$ otherwise,

$a|c$ meaning $c = xay$ for some $x, y \in S$.

- b. $\varrho'(c) = (0, \gamma_1, \gamma_2, \gamma_3, \dots)$ for $a|c$, $c \not\equiv a$
 $\varrho'(c) = (0, \alpha_1, \alpha_2, \alpha_3, \dots)$ for $c \equiv a$
 $\varrho'(c) = (1, \gamma_1, \gamma_2, \gamma_3, \dots)$ otherwise.

If now ϱ is chosen within the class $\bar{\sigma}$ such a class $\bar{\varrho}'$ does not exist, so we have proved

Theorem 2. $a \equiv b \leftrightarrow \varrho(a) = \varrho(b)$ for $\varrho \in \bar{\sigma}$.

If at last S is supposed to be a commutative semigroup with an identity element the classes \tilde{a}, \tilde{b} of the equivalence relation \equiv can be multiplied in a meaningful way by the definition $\tilde{a} \cdot \tilde{b} = \tilde{ab}$, and form therefore a semigroup \tilde{S} , on which any rank function ϱ on S induces another rank function $\tilde{\varrho}$, by the relation $\tilde{\varrho}(\tilde{a}) = \varrho(a)$. According to theorem 2 the rank, defined on S induces a class of discrete rank functions on \tilde{S} , which is obviously the rank of \tilde{S} .

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